



Application Serial No. 10/758,168  
Attorney Docket No. 506431-0033

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : C. Edward Baxter, Jr. et al.  
Serial No. : 10/758,168  
Filing Date : January 15, 2004  
Title : APPARATUS FOR PREPARING POLYOLEFIN  
PRODUCTS AND METHODOLOGY FOR USING  
THE SAME

Group/Art Unit : Not yet determined  
Examiner : Not yet determined  
Confirmation No. : Not yet determined

Atty. Docket No. : 506431-0033

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with 37 C.F.R. §§ 1.56 and 1.97(b), the references listed on the attached Form PTO/SB/08A (Substitute for Form 1449A-B/PTO) are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified application. Pursuant to 37 C.F.R. § 1.98(d), copies of the listed references are not submitted with this Information Disclosure Statement (IDS) in view of the fact that copies of the same have been submitted in an appropriately filed IDS submitted in parent application Serial No. 10/434,805, filed May 9, 2003, from which priority has been claimed in the present application.

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: 3/26/04

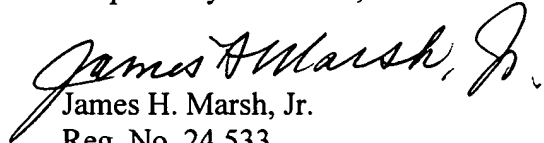
Signature: Debbie J. Swenson

Printed Name: Debbie J Swenson

The Director is hereby authorized to charge any additional amount required, or credit any overpayment, to Deposit Account No. 19-4409.

Pursuant to 37 C.F.R. §§ 1.97(b)(1), no fee is believed to be required in connection with this IDS in view of the fact that the same is being submitted within three months of the filing date of this application.

Respectfully submitted,

A handwritten signature in cursive script, reading "James H. Marsh, Jr.", is written over the typed name.

James H. Marsh, Jr.

Reg. No. 24,533

STINSON MORRISON HECKER LLP

1201 Walnut Street, Suite 2800

Kansas City, MO 64106-2150

Telephone: (816) 842-8600

Facsimile: (816) 691-3495

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number: 10/758,168

Filing Date: January 15, 2004

First Named Inventor: C. Edward Baxter, Jr.

Group Art Unit: Not yet determined

Examiner Name: Not yet determined

Attorney Docket Number: 506431-0033

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		132,264		Eames et al.	10-15-1872	
		2,139,038		Russell	12-06-38	
		2,379,656		Ruthruff	07-03-45	
		2,407,494		Hartvigsen	09-10-46	
		2,411,097		Kopp	11-12-46	
		2,559,062		Domte	07-03-51	
		2,559,984		Montgomery et al.	07-10-51	
		2,727,022		Linsk	12-13-55	
		2,833,840		Longwell	05-06-58	
		2,856,395		Richard, Jr. et al.	10-14-58	
		2,889,370		Schechter	06-02-59	
		2,918,508		Coopersmith et al.	12-22-59	
		3,024,226		Nolan, Jr.	03-06-62	
		3,166,546		Nolan, Jr.	01-19-65	
		3,284,537		Webb, Jr.	11-08-66	
		3,306,907		McNinch	02-28-67	
		3,346,354		Kautsky, et al.	10-10-67	
		3,382,291		Brennan	05-07-68	
		3,634,383		Miller, Jr.	01-11-72	
		3,726,842		Treichmann et al.	04-10-73	
		3,778,487		Driscoll et al.	12-11-73	
		3,780,128		Shubkin	12-18-73	
		3,849,085		Kreuz, et al.	11-19-74	
		3,927,041		Cengel et al.	12-16-75	
		3,935,249		Puskas et al.	01-27-76	
		3,991,129		Daniels	11-09-76	
		4,110,521		Barnett et al.	08-29-78	
		4,152,499		Boerzel et al.	05-01-79	
		4,227,027		Booth et al.	10-07-80	
		4,231,759		Udelhofen et al.	11-04-80	
		4,238,628		Cahill et al.	12-09-80	
		4,242,531		Carter	12-19-80	
		4,311,808		Su	01-19-82	
Examiner Signature					Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number:	10/758,168
				Filing Date:	January 15, 2004
				First Named Inventor:	C. Edward Baxter, Jr.
				Group Art Unit:	Not yet determined
				Examiner Name:	Not yet determined
				Attorney Docket Number:	506431-0033
Sheet	2	of	3		
<b>U. S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		4,383,093	Shiraki et al.	05-10-83	
		4,391,959	Fauth et al.	07-05-83	
		4,400,493	Abemathy, Jr. et al.	08-23-83	
		4,429,099	Kennedy et al.	01-31-84	
		4,433,197	Vogel et al.	02-21-84	
		4,558,104	Bronstert et al.	12-1985	
		4,605,808	Samson	08-12-86	
		4,663,406	Bronstert et al.	05-05-87	
		4,691,072	Schick et al.	09-01-87	
		4,849,572	Chen et al.	07-18-89	
		4,883,847	Leung et al.	11-28-89	
		4,914,166	Kennedy et al.	04-03-90	
		4,943,616	Mishra et al.	07-24-90	
		4,956,512	Nissfolk et al.	09-11-90	
		4,973,733	Valkovich et al.	11-27-90	
		4,982,042	Akatsu et al.	01-01-91	
		5,068,490	Eaton	11-26-91	
		5,175,225	Ruhe, Jr.	12-1992	
		5,191,044	Rath et al.	03-02-93	
		5,192,335	Cherpeck	03-09-93	
		5,254,649	Miln et al.	10-19-93	
		5,254,784	Nurminen et al.	10-19-93	
		5,286,823	Rath	02-15-94	
		5,300,701	Cherpeck	04-05-94	
		5,408,018	Rath	04-18-95	
		5,439,991	Colman et al.	08-08-95	
		5,448,001	Baird	09-05-95	
		5,563,313	Chung et al.	10-08-96	
		5,710,225	Johnson et al.	01-20-98	
		5,731,379	Kennan et al.	03-24-98	
		5,733,993	Yu et al.	03-31-98	
		5,767,334	Nissfolk et al.	06-16-98	
		5,779,742	Baker	07-14-98	
		5,792,729	Harrison et al.	08-11-98	
		5,811,616	Holub et al.	09-22-98	
Examiner Signature				Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number:	10/758,168	
				Filing Date:	January 15, 2004	
				First Named Inventor:	C. Edward Baxter, Jr.	
				Group Art Unit:	Not yet determined	
Examiner Name:	Not yet determined					
Attorney Docket Number:	506431-0033					
Sheet	3	of	3			
<b>U. S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		5,814,706		Yu et al.	09-29-98	
		5,910,550		Rath	06-08-99	
		5,962,604		Rath	10-05-99	
		5,977,251		Kao et al.	11-1999	
		6,132,827		Miro	10-17-00	
		6,361,856		Wakai et al.	03-26-02	
		6,384,154		Sigwart et al.	05-07-02	
		6,407,186		Rath et al.	06-2002	
		6,441,110		Sigwart et al.	08-2002	
		6,562,913		Baxter, Jr., et al.	05-2003	

<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		Canada	2,217,848			12-19-96		
		Canada	2,195,575			02-08-96		
		Germany	DE 27 02 604			07-27-78		
		Germany	199 52 030			05/2001		
		EPC	0 145 235 A1			06-19-85		
		EPC	0 279 456 A1			08-24-88		
		EPC	0 322 241 A1			06-28-89		
		EPC	0 355 997 A1			02-28-90		
		EPC	0 400 905 A1			12-05-90		
		EPC	0 481 297 A2			04-22-92		
		EPC	0 628 022 B1			11-03-98		
		EPC	0 628 575 A1			12-14-94		
		EPC	0 671 419 A1			09-13-95		
		EPC	0 831 141			03-25-98		
		GB	1,159,368			07-23-69		
		GB	1,592,016			07-01-81		
		GB	2 181 145			04-1987		
		PCT	WO 93/10063			05-27-93		
		PCT	WO 94/14739			04-07-94		
		PCT	WO 99/31151			06-1999		
		PCT	WO 01/19873			03-23-2001		
Examiner Signature						Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.